Efficient and Accurate Computation of Eye Diagrams and Bit Error Rates in a Single-channel CRZ System

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R. Holzlöhner,<sup>1</sup> C. R. Menyuk,<sup>1,2</sup> V. S. Grigoryan,<sup>3,1</sup> W. L. Kath<sup>4,1</sup>

<sup>1</sup>University of Maryland Baltimore County, Baltimore, MD <sup>2</sup>PhotonEx Corporation, Maynard, MA <sup>3</sup>CeLight Corporation, Silver Spring, MD <sup>4</sup>Northwestern University, Evanston, IL



## **Calculating Bit Error Rates**

BERs are commonly calculated from Monte Carlo simulation results using Gaussian extrapolation



But: voltage probability densities are not Gaussian [Marcuse 1990]

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# **U** Outline

### Goal

#### Accurate calculation of BER vs. decision level

- Linearize noise propagation
- **2** Include signal-noise beating and data modulation
- **3** Model a realistic electrical receiver filter

### Approach

Calculate the multivariate Gaussian noise pdf of the optical field Justification: Noise-noise interaction in the fiber is small



## **Linearizing the NLS**

Nonlinear Schrödinger equation with ASE noise

$$i\frac{\partial u}{\partial z} + \frac{D(z)}{2}\frac{\partial^2 u}{\partial t^2} + |u|^2 u = ig(z)u + \hat{F}$$
  

$$\hat{F}: \text{ added Gaussian white noise}$$
  
Now set  $u = u_0 + \delta u$ ,  $u_0 = \langle u \rangle$ : noise-free signal  
 $\delta u$ : accumulated noise

$$i\frac{\partial\delta u}{\partial z} + \frac{D(z)}{2}\frac{\partial^2\delta u}{\partial t^2} + 2|u_0|^2\delta u + u_0^2(\delta u)^* = ig(z)\delta u + \hat{F}$$

**Doob's Theorem:** Su is multivariate Gaussian distributed



### **Moise Covariance Matrix**

$$\delta u(t) = \sum_{k=-N_{FFT}/2}^{N_{FFT}/2-1} \left[ \alpha_k + i\beta_k \right] \exp(i\omega_k t), \qquad N_{FFT} = 2048$$

$$a = (\alpha_{-N/2}, ..., \alpha_{N/2-1}, \beta_{-N/2}, ..., \beta_{N/2-1})^{\mathrm{T}}, N = 80$$

Covariance matrix 
$$\mathbf{K}_{kl} = \langle \boldsymbol{a}_k \boldsymbol{a}_l \rangle, \quad \mathbf{K} = \langle \boldsymbol{a} \boldsymbol{a}^{\mathrm{T}} \rangle$$

Multivariate Gaussian distribution of a:

$$f_{\boldsymbol{a}}(\boldsymbol{a}, z) = \left(2\pi\right)^{-2N} \sqrt{\det \mathbf{K}^{-1}} \exp\left(-\frac{1}{2}\boldsymbol{a}^{\mathrm{T}}\mathbf{K}^{-1}\boldsymbol{a}\right)$$



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### **How to Compute the Covariance Matrix**

Solve the linearized homogeneous propagation equation

$$\frac{\partial a}{\partial z} = \mathbf{R}(z) a \implies a(L) = \Psi a(0)$$

$$\mathbf{K}(L) = G \Psi \mathbf{K}(0) \Psi^{\mathrm{T}} + \eta \mathbf{I}$$

$$\mathbf{\Lambda}_{\text{ASE}}$$

$$\mathbf{M}_{\text{input}} = G \Psi \mathbf{K}(0) \Psi^{\mathrm{T}} + \eta \mathbf{I}$$

But: ODE is stiff due to dispersion term. Solution: perturbative approach

$$a^{(k)}(0) = \varepsilon \hat{e}_k \longrightarrow a^{(k)}(L) \Rightarrow \Psi_{jk} = \frac{a_j^{(k)}(L)}{\varepsilon}$$

Compute  $\Psi$  by perturbing each of the N frequency modes separately

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# **Simulation Setup**



Quadratic noise-noise terms in the receiver cannot be neglected !



# **Jan** Strong Jitter Distorts the Gaussian pdf



Separate phase jitter from  $a^{(k)}(L)$ 

Phase jitter rotates signal around origin, distorting the Gaussian pdf

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# III Phase Jitter Removal

Remove phase jitter by projecting out the noise proportional to  $iu_0$ 

$$\widetilde{\boldsymbol{a}}^{(k)} = \boldsymbol{a}^{(k)} - \frac{\left(\boldsymbol{a}^{(k)}, \boldsymbol{v}\right)}{\left(\boldsymbol{v}, \boldsymbol{v}\right)} \boldsymbol{v}, \qquad \boldsymbol{v} = \mathrm{FT}\left\{i\boldsymbol{u}_{0}(t)\right\}$$

Jitter removal requires artificial dispersion compensation in CRZ:



# [\_\_\_] Test System: Submarine CRZ, 6100 km

Modeled on a transatlantic communications system by Tyco Communications, Inc.



Non-periodic evolution: medium nonlinearity, but strong pulse overlap

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### **III** Noise-Free Optical Signal at Receiver



### **Characterizing the Covariance Matrix**





### Eye Diagram from Linearization



# **J** Focus on Worst Pattern

by Brian Marks



**32 Bits CRZ: Focus on Worst Patterns** 





• Linearization method was successfully applied to CRZ system

- **2** Critical steps: Phase jitter separation + dispersion compensation
- **3** Bit patterns are important, focus on worst patterns
- Computational cost equal to 2N Monte Carlo noise realizations

Approach might be practical in realistic systems

