

Unsupervised orthogonal subspace projection approach to magnetic resonance image classification

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1 Introduction

Nuclear magnetic resonance (NMR) has recently developed as a versatile technique in many fields such as chemistry, physics, and engineering because its signals provide rich information about material structures concerning the nature of a population of atoms, the structure of their environment, and the way in which the atoms interact with environment.¹ When NMR is applied to human anatomy, the signals can be used to measure the nuclear spin density and the inter-

Abstract. MR images and remotely sensed images share similar image structures and characteristics because they are acquired remotely as image sequences by spectral channels at different wavelengths. As a result, techniques developed for one may be also applicable to the other. In the past, we have witnessed that some techniques that were developed for magnetic resonance imaging (MRI) found great success in remote sensing image applications. Unfortunately, the opposite direction is yet to be investigated. In this paper, we present an application of one successful remote sensing image classification technique, called orthogonal subspace projection (OSP), to magnetic resonance image classification. Unlike classical image classification techniques, which are designed on a pure pixel basis, OSP is a mixed pixel classification technique that models an image pixel as a linear mixture of different material substances assumed to be present in the image data, then estimates the abundance fraction of each individual material substance within a pixel for classification. Technically, such mixed pixel classification is performed by estimating the abundance fractions of material substances resident in a pixel, rather than assigning a class label to it as usually done in pure-pixel-based classification techniques such as a minimum-distance or nearest-neighbor rule. The advantage of mixed pixel classification has been demonstrated in many applications in remote sensing image processing. The MRI experiments reported in this paper further show that mixed pixel classification may have advantages over the pure pixel classification. © 2002 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.1479710]

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actions of the nuclei with their surrounding molecular environment and those between close nuclei. It produces a sequence of multiple spectral images of tissues with a variety of contrasts, using three magnetic resonance parameters: spin-lattice (T1), spin-spin (T2), and dual echo-echo proton density (PD). By appropriately choosing the pulse sequence parameters—the echo time (TE) and repetition time (TR)—a sequence of images of an anatomical area can be generated by using pixel intensities that represent char-

acteristics of different types of tissues throughout the sequence. As a result, magnetic resonance imaging (MRI) becomes a more useful image modality than x-ray computerized tomography (X-ray CT) when it comes to analysis of soft tissues and organs, since the information about T1 and T2 offers a more precise picture of tissue functionality than that produced by X-ray CT.²

MRI shares many image structures and characteristics with remotely sensed imagery. The images are acquired remotely as image sequences by spectral channels at different specific wavelengths. Most importantly, they produce a sequence of images that explore the spectral properties and correlation within the sequence so as to improve image analysis. One unique feature that multispectral MR images and remote sensing images have in common is spectral properties characterized by an image pixel that are generally not explored in classical image processing. Since various material substances can be identified by different wavelengths, an MR or remote sensing image pixel is actually a pixel vector, of which each component represents an image pixel acquired by a specific spectral band. So, in order to capture such spectral characterization, a general approach is to model a pixel vector as a linear mixture of material substances assumed to be resident in the pixel and then unmix the mixture to find material substances of interest. This linear unmixing process is usually referred to as mixed pixel analysis.

Many linear unmixing methods have been proposed for remote sensing image classification in the past. One early example is the orthogonal subspace projection (OSP) approach, which has shown great success in hyperspectral image classification.³⁻⁷ It was derived from an eigenimaging approach,⁸⁻¹³ which is based on the ratio of a desired feature energy to undesired feature energies, a criterion similar to the signal-to-noise ratio (SNR). More recently, Soltanian-Zadeh et al. developed a constrained criterion for MRI to characterize brain issues for 3-D feature representation.¹⁴ It was based on the ratio of the intersubject distance to intrasubject distance subject to a constraint that each class center must be aligned along some predetermined directions. Their idea was further polished and improved by Du and Chang,¹⁵ who referred to it as linear constrained distance-based discriminant analysis (LCDA) in hyperspectral image classification and target detection. They showed that Soltanian-Zadeh et al.'s approach and LCDA could be viewed as a constrained version of the OSP. In the OSP, the noise in the linear mixture is assumed to be additive but not necessarily Gaussian. However, if the additive noise is Gaussian, the OSP is reduced to the well-known Gaussian maximum-likelihood estimation.¹⁶

All these examples provide evidence that the OSP approach may find its application in MR image classification. In this paper, we revisit this approach and further expand its ability in MR image classification. In particular, we consider an unsupervised version of OSP that does not require image background knowledge. Its effectiveness will be evaluated by a series of experiments using phantom and real MR images.

The idea of the OSP is to assume that there are p material substances present in the image data, which are categorized into two classes, one containing desired material substances, and another consisting of undesired material

substances. The OSP improves the performance of mixed pixel classification by eliminating undesired material substances via orthogonal projection before the classification of desired material substances takes place. Unfortunately, in order for the OSP to be effective, complete knowledge of the background material substances is required for the linear mixture model. In many practical applications, obtaining such information is very difficult, if not impossible. To mitigate this problem, extending the OSP to an unsupervised OSP (UOSP) is highly desirable. One idea, recently developed in Ref. 7 and referred to as desired-target detection and classification (DTDCA), uses a target generation process to extract a set of unknown signal sources from the image background that could be used in the linear mixture model. This approach seems particularly attractive for MRI applications.

Two advantages can be gained from such a UOSP. First, it does not require image background knowledge. That is very useful for MR image classification, where many unknown signal sources that may result from various structures of human tissues cannot be characterized and identified by visual inspection *a priori*. These signal sources may differ from one image to another. Since they are generally unknown, they must be extracted directly from the image data in an unsupervised manner. Another advantage is an improvement in classification resulting from elimination of undesired material substances that are extracted by the UOSP. In order to evaluate the performance of the UOSP, a series of experiments are conducted where the commonly used c-means method is used for comparison.

The remainder of this paper is organized as follows. Section 2 briefly describes a linear spectral mixture model that will be used in the OSP. Section 3 presents the OSP approach, followed by the unsupervised OSP in Section 4. Section 5 reports a series of experiments for performance analysis. Section 6 concludes with some remarks.

2 Linear Spectral Mixture Model

A multispectral MR image can be considered as an image cube where the third dimension is a spectral dimension specified by TR/TE parameters. As a result, each image pixel is actually a column vector, of which each component corresponds to a specific TR/TE value. In many occasions, the intrapixel spectral correlation (i.e., spectral correlation among the components within a single pixel vector) may uncover crucial information that cannot be provided by interpixel spatial correlation, that is, spatial correlation among sample vectors. In traditional spatial-based image processing the techniques are generally developed to explore interpixel spatial correlation rather than intrapixel spectral correlation. Consequently, they may not be effective for the latter purpose. In order to take into account the inherent spectral properties present in an MR image pixel vector, a general approach, called linear unmixing,^{17,18} which has been widely used for mixed pixel classification in remote sensing, may be applicable. It models an image pixel vector as a linear mixture of substances that are assumed to be present in the pixel, then unmixes the image pixel by finding the abundance fraction of each of these substances in the pixel. More precisely, linear unmixing can be briefly described as follows.

Suppose that a multispectral MR image is acquired by L spectral bands that are specified by a set of values of TR/TE parameters. We further assume that there are p distinct substances present in the MR image, which are referred to as *targets*, denoted by $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_p$ with $\mathbf{m}_1, \mathbf{m}_2, \dots, \mathbf{m}_p$ representing their corresponding spectral signatures. Let \mathbf{r} be the spectral signature of a multispectral MR image pixel vector \mathbf{v} . A linear unmixing method models \mathbf{r} as a linear combination of $\mathbf{m}_1, \mathbf{m}_2, \dots, \mathbf{m}_p$ with appropriate abundance fractions specified by $\alpha_1, \alpha_2, \dots, \alpha_p$. That is,

$$\mathbf{r} = \mathbf{M}\boldsymbol{\alpha} + \mathbf{n}, \tag{1}$$

where \mathbf{n} can be interpreted as an uncorrelated noise with variance σ^2 or as a measurement error. Here \mathbf{r} is an $L \times 1$ column pixel vector, and \mathbf{M} an $L \times p$ spectral signature matrix, expressed as $[\mathbf{m}_1 \ \mathbf{m}_2 \ \dots \ \mathbf{m}_p]$, where \mathbf{m}_j is an $L \times 1$ column vector represented by the spectral signature of the j 'th target, \mathbf{t}_j , resident in the pixel \mathbf{v} , and $\boldsymbol{\alpha} = (\alpha_1, \alpha_2, \dots, \alpha_p)^T$ is a $p \times 1$ abundance column vector associated with \mathbf{r} , in which α_j is the abundance fraction contributed by \mathbf{t}_j to \mathbf{r} . The model represented by Eq. (1) is a linear regression form, which assumes that the spectral signature \mathbf{r} is a linear mixture of p distinct spectral signatures $\mathbf{m}_1, \mathbf{m}_2, \dots, \mathbf{m}_p$ with unknown mixing coefficients $\alpha_1, \alpha_2, \dots, \alpha_p$.

The problem described by Eq. (1) is called the mixed pixel classification problem. A method that solves such a problem, generally referred to as *linear unmixing*, finds the unknown mixing coefficients, $\alpha_1, \alpha_2, \dots, \alpha_p$ from the spectral signature \mathbf{r} of the image pixel vector \mathbf{v} . Since linear unmixing classifies a mixed pixel \mathbf{v} according to its estimated mixing coefficients $\alpha_1, \alpha_2, \dots, \alpha_p$ from \mathbf{r} , the resulting images are a set of p abundance-fraction images, each of which is a gray-scale image with gray scales representing estimated abundance fractions of a particular target present in each pixel vector of the image. In this case, the mixed pixel classification is performed by a set of p abundance-fraction images represented by the mixing coefficients $\alpha_1, \alpha_2, \dots, \alpha_p$ estimated from \mathbf{r} . So, technically speaking, linear unmixing is an abundance-fraction estimation technique and is more than just a classification technique. Compared to mixed pixel classification, the classical spatial-based image classification can be viewed as pure pixel classification that assigns class membership on a pure pixel basis. The resulting image is basically a classification map rather than a gray-scale image.

3 Orthogonal Subspace Projection Approach

The OSP approach is a linear unmixing method that has shown great success in mixed pixel classification. It was recently developed by Harsanyi and Chang.⁴ It divides a set of the p targets of interest, $\{\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_p\}$ into a desired target, say \mathbf{t}_p , and a set of undesired targets, $\{\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_{p-1}\}$, which may include natural or background targets. Since we are only interested in the desired target \mathbf{t}_p , all other targets will be considered as interferers to \mathbf{t}_p . In this case, a logical approach is to eliminate the interfering effects caused by the undesired targets $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_{p-1}$ prior to detecting \mathbf{t}_p . As a result of elimination of these undesired target signatures,

the detectability of \mathbf{t}_p can be improved. This is the main idea of the OSP approach. In order to do so, the OSP separates \mathbf{t}_p from $\{\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_p\}$.

Let $\mathbf{d} = \mathbf{m}_p$ be the signature of the desired target \mathbf{t}_p , and $\mathbf{U} = [\mathbf{m}_1 \ \mathbf{m}_2 \ \dots \ \mathbf{m}_{p-1}]$ be the undesired-target signature matrix represented by $\mathbf{t}_1, \mathbf{t}_2, \dots, \mathbf{t}_{p-1}$. Using these definitions, Eq. (1) can be reexpressed as

$$\mathbf{r} = \mathbf{d}\alpha_p + \mathbf{U}\boldsymbol{\gamma} + \mathbf{n}, \tag{2}$$

where

$$\boldsymbol{\gamma} = (\alpha_1, \alpha_2, \dots, \alpha_{p-1})^T.$$

Here, without loss of generality, we assume that the desired target consists of a single target \mathbf{t}_p . The reason for separating \mathbf{U} from \mathbf{M} is that it allows us to design an orthogonal subspace projector to annihilate \mathbf{U} from the pixel \mathbf{r} prior to detection. One such orthogonal subspace projector was derived by Harsanyi and Chang⁴ and given by

$$P_{\mathbf{U}}^{\perp} = \mathbf{I} - \mathbf{U}\mathbf{U}^{\#}, \tag{3}$$

where $\mathbf{U}^{\#} = (\mathbf{U}^T\mathbf{U})^{-1}\mathbf{U}^T$ is the pseudoinverse of \mathbf{U} . The notation $\perp_{\mathbf{U}}$ in $P_{\mathbf{U}}^{\perp}$ indicates that the projector $P_{\mathbf{U}}^{\perp}$ maps the observed pixel \mathbf{r} into the orthogonal complement of $\langle \mathbf{U} \rangle$, denoted by $\langle \mathbf{U} \rangle^{\perp}$.

Applying $P_{\mathbf{U}}^{\perp}$ in Eq. (3) to Eq. (2) results in a new spectral mixture model

$$P_{\mathbf{U}}^{\perp}\mathbf{r} = P_{\mathbf{U}}^{\perp}\mathbf{d}\alpha_p + P_{\mathbf{U}}^{\perp}\mathbf{n}, \tag{4}$$

where the undesired signatures in \mathbf{U} have been eliminated and the original noise \mathbf{n} has been suppressed to $P_{\mathbf{U}}^{\perp}\mathbf{n}$.

Operating on Eq. (4) with a vector \mathbf{x}^T results in a standard signal detection problem given by

$$\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{r} = \mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{d}\alpha_p + \mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{n}. \tag{5}$$

A commonly used criterion to measure detection performance specified by Eq. (5) is the SNR, which is defined by the ratio of signal energy to noise energy. According to Eq. (5) the signal and noise energies can be obtained from the variance of $\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{d}\alpha_p$ and the variance of $\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{n}$, respectively. The resulting SNR is given by

$$\begin{aligned} \text{SNR}(\mathbf{x}) &= \frac{[\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{d}\alpha_p][\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{d}\alpha_p]^T}{E[(\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{n})(\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{n})^T]} = \frac{\alpha_p^2(\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{d})(\mathbf{d}^T P_{\mathbf{U}}^{\perp}\mathbf{x})}{\mathbf{x}^T P_{\mathbf{U}}^{\perp}E[\mathbf{m}\mathbf{m}^T]P_{\mathbf{U}}^{\perp}\mathbf{x}} \\ &= \frac{\alpha_p^2(\mathbf{x}^T [P_{\mathbf{U}}^{\perp}\mathbf{d}\mathbf{d}^T P_{\mathbf{U}}^{\perp}]\mathbf{x})}{\sigma^2(\mathbf{x}^T P_{\mathbf{U}}^{\perp}\mathbf{x})} \end{aligned} \tag{6}$$

where the noise is assumed to be white with variance given by σ^2 .

It has been shown that maximizing Eq. (6) over \mathbf{x} is equivalent to finding the maximum eigenvalue of the following generalized eigenvalue problem^{3,17}:

$$(\sigma^2 P_{\mathbf{U}}^{\perp})^{-1}(\alpha_p^2 [P_{\mathbf{U}}^{\perp}\mathbf{d}\mathbf{d}^T P_{\mathbf{U}}^{\perp}])\mathbf{x} = \lambda \mathbf{x}. \tag{7}$$

Since the detection problem specified by Eq. (4) presents a two-class classification problem, the rank of the matrix on the left of Eq. (7) is one. This implies that the only nonzero

eigenvalue is the maximum eigenvalue that also solves Eq. (7). The solution to maximizing Eq. (6) over \mathbf{x} is known as a matched filter \mathbf{M}_d and given by

$$\mathbf{M}_d(\mathbf{x}) = \kappa \mathbf{d} \quad \text{for some constant } \kappa, \quad (8)$$

where the matched signal is the desired signature \mathbf{d} . Substituting Eq. (8) into Eq. (6) yields

$$\begin{aligned} \lambda_{\max} = \text{SNR}(\mathbf{d}) &= \max_{\mathbf{x}} \{\text{SNR}(\mathbf{x})\} \\ &= (\alpha_p^2 \sigma^{-2}) \frac{(\mathbf{d}^T P_{\mathbf{U}}^{\perp} \mathbf{d})(\mathbf{d}^T P_{\mathbf{U}}^{\perp} \mathbf{d})}{\mathbf{d}^T P_{\mathbf{U}}^{\perp} \mathbf{d}} \\ &= \left(\frac{\alpha}{\sigma}\right)^2 \mathbf{d}^T P_{\mathbf{U}}^{\perp} \mathbf{d}. \end{aligned} \quad (9)$$

It turns out that $\mathbf{x} = \kappa \mathbf{d}$ with $\lambda = (\alpha_p / \sigma)^2 \mathbf{d}^T P_{\mathbf{U}}^{\perp} \mathbf{d}$ also satisfies Eq. (7). Based on the approach outlined by Eqs. (5) to (9), an OSP classifier, denoted by δ_{OSP} , can be obtained by

$$\delta_{\text{OSP}}(\mathbf{r}) = \mathbf{M}_d P_{\mathbf{U}}^{\perp} \mathbf{r} = \mathbf{d}^T P_{\mathbf{U}}^{\perp} \mathbf{r}, \quad (10)$$

where $\mathbf{x} = \mathbf{d}$ with $\kappa = 1$ in Eq. (7).

The classifier δ_{OSP} in Eq. (10) is implemented by an undesired-signature rejecter $P_{\mathbf{U}}^{\perp}$ followed by a matched filter \mathbf{M}_d . More precisely, if we want to detect a target signature \mathbf{d} in a mixed pixel, we first apply $P_{\mathbf{U}}^{\perp}$ to Eq. (2) to eliminate \mathbf{U} , then use the matched filter \mathbf{M}_d to extract the \mathbf{d} from Eq. (4).

4 Unsupervised Orthogonal Subspace Projection Approach

According to Eqs. (1) and (2), the OSP classifier requires complete knowledge of the p target signatures $\mathbf{m}_1, \mathbf{m}_2, \dots, \mathbf{m}_p$ in the target signature matrix \mathbf{M} , which are assumed to be present in the image data. With the use of these p target signatures the image data can be represented by their linear combinations via Eq. (1) with relative abundance fractions $\alpha_1, \alpha_2, \dots, \alpha_p$. This knowledge must also include background information. Unfortunately, in reality, obtaining these background signatures is nearly impossible *a priori*, and they must be obtained directly from the image data in an unsupervised procedure. In this section we describe an unsupervised OSP, which is derived from the DT-DCA in Ref. 7 as follows.

Since the desired target signature \mathbf{d} is provided *a priori*, we use it as an initial target signature, then employ an orthogonal subspace projector $P_{\mathbf{d}}^{\perp}$ to project all image pixel vectors into the orthogonal complement space, denoted by $\langle \mathbf{d} \rangle^{\perp}$, that is orthogonal to the space $\langle \mathbf{d} \rangle$ linearly spanned by \mathbf{d} . The maximum length of a signature vector in $\langle \mathbf{d} \rangle^{\perp}$ that corresponds to the maximum orthogonal projection with respect to \mathbf{d} will be selected as a first potential target signature, denoted by \mathbf{m}_1 . The reason for this selection is that the selected \mathbf{m}_1 will have the most-distinct features from \mathbf{d} in the sense of orthogonal projection, because \mathbf{m}_1 has the largest magnitude of projection in $\langle \mathbf{d} \rangle^{\perp}$ produced by $P_{\mathbf{d}}^{\perp}$. Then an OSP classifier $\delta_{\text{OSP}} = \mathbf{d}^T P_{\mathbf{U}}^{\perp}$ with $\mathbf{U} = \mathbf{m}_1$ is

applied to the image via Eq. (10). If the target signature \mathbf{d} appears in the resulting image, \mathbf{d} is declared to be detected and classified. Otherwise, a new orthogonal subspace projector $P_{[\mathbf{d}, \mathbf{m}_1]}^{\perp}$ using Eq. (3) is applied to the original image.

It projects all image pixel vectors to the space $\langle \mathbf{d}, \mathbf{m}_1 \rangle^{\perp}$ that is orthogonal to \mathbf{d} and \mathbf{m}_1 . Once again, the signature vector with maximum length in $\langle \mathbf{d}, \mathbf{m}_1 \rangle^{\perp}$ will be selected as a second target signature, denoted by \mathbf{m}_2 . Then an OSP classifier $\delta_{\text{OSP}} = \mathbf{d}^T P_{[\mathbf{d}, \mathbf{m}_1, \mathbf{m}_2]}^{\perp}$, using $\mathbf{U} = [\mathbf{m}_1 \ \mathbf{m}_2]$ as the undesired-target signature matrix in Eq. (2), is applied to the image. If the resulting image does not detect the target signature \mathbf{d} , the above procedure will be repeated to find a third target signature \mathbf{m}_3 , a fourth target signature \mathbf{m}_4 , etc., until the target signature \mathbf{d} is detected. Such a process is called a *target generation process* (TGP).

Since we do not know how many target signatures should be generated, the OSP classifier used in the TGP must be applied every time new target signature is generated. Additionally, the TGP should not rely on visual inspection to determine when the procedure must be terminated. Fortunately, this situation can be avoided provided that there exists a reliable stopping rule to determine how many generated target signatures are sufficient for target detection and classification. In the following, such a criterion can be also derived from the concept of OSP. It is based on the orthogonal correlation between the target signature \mathbf{d} and the projection operator $P_{\mathbf{U}}^{\perp}$.

Let $\mathbf{U}_i = [\mathbf{m}_1 \ \mathbf{m}_2 \ \dots \ \mathbf{m}_i]$ be the i 'th target-signature set used for the OSP classifier in the i 'th stage. We then define the orthogonal projection correlation index (OPCI) by

$$\eta_i = \mathbf{d}^T P_{\mathbf{U}_i}^{\perp} \mathbf{d}. \quad (11)$$

Since $\mathbf{U}_{i-1} \subset \mathbf{U}_i$, we have $\eta_i = \mathbf{d}^T P_{\mathbf{U}_i}^{\perp} \mathbf{d} \leq \eta_{i-1} = \mathbf{d}^T P_{\mathbf{U}_{i-1}}^{\perp} \mathbf{d}$ for all i 's. This implies that the sequence $\{\mathbf{d}^T P_{\mathbf{U}_i}^{\perp} \mathbf{d}\}$ is monotonically decreasing in i . Thus the OPCI sequence $\{\eta_i\}$ is monotonically decreasing in i . Using this property as a stopping criterion, the TGP can be summarized as follows.

Target generation process (TGP).

1. *Initial condition:* Select an initial target signature of interest, denoted by \mathbf{d} . Let ε be a prescribed error threshold. Set $i = 0$ and $\mathbf{U}_0 = \emptyset$.
2. Find orthogonal projections of all image pixels with respect to \mathbf{d} , by applying $P_{\mathbf{d}}^{\perp}$ via Eq. (3) to all image pixel vectors \mathbf{r} in the image.
3. Find a first target signature, denoted by \mathbf{m}_1 , by

$$\mathbf{m}_1 = \arg \left\{ \max_{\mathbf{r}} [(P_{\mathbf{d}}^{\perp} \mathbf{r})^T (P_{\mathbf{d}}^{\perp} \mathbf{r})] \right\}. \quad (12)$$

Set $i = 1$ and $\mathbf{U}_1 = \mathbf{m}_1$.

4. If $\eta_1 = \mathbf{d}^T P_{\mathbf{U}_1}^{\perp} \mathbf{d} < \varepsilon$, go to step 8. Otherwise, set i to $i + 1$ and continue.
5. Find the i 'th target signature \mathbf{m}_i generated by the i 'th stage, i.e.,

$$\mathbf{m}_i = \arg\{\max_{\mathbf{r}} [(P_{(\mathbf{d}\mathbf{U}_{i-1})}^{\perp} \mathbf{r})^T (P_{(\mathbf{d}\mathbf{U}_{i-1})}^{\perp} \mathbf{r})]\}, \quad (13)$$

where $\mathbf{U}_{i-1} = [\mathbf{m}_1 \ \mathbf{m}_2 \ \cdots \ \mathbf{m}_{i-1}]$ is the target signature set generated at the $(i-1)$ st stage.

6. Calculate OPCI $\eta_i = \mathbf{d}^T P_{\mathbf{U}_i}^{\perp} \mathbf{d}$ using Eq. (11), and compare it with the prescribed threshold ε .
7. *Stopping rule:* If $\eta_i > \varepsilon$, go to step 5. Otherwise, continue.
8. At this stage, the TGP is terminated, and the undesired-target signature matrix \mathbf{U}_i generated at this point contains i target signatures, which will be used in Eq. (2). It should be noted that each cycle from step 5 to step 7 generates one target signature at a time.

The error threshold ε in step 7 is generally chosen empirically. It is generally determined by the classifier used, such as OSP. An alternative to selection of ε is to set the number of targets needed to be generated in the TGP and use this number in step 7 to terminate the TGP. Which one is a better stopping criterion is determined by applications and users' preference.

Now, incorporating the TGP into the OSP, a UOSP can be implemented as follows.

UOSP algorithm.

1. Select \mathbf{d} to be the target signature for the desired target.
2. Use \mathbf{d} as the initial target signature in the TGP to generate an undesired-target signature matrix, denoted by \mathbf{U}_i .
3. Use the OSP to classify \mathbf{d} . It should be noted that when we do so, any target signature other than \mathbf{d} will be considered to be an undesired-target signature with respect to \mathbf{d} , no matter what \mathbf{d} is. Thus, the generated target signature matrix \mathbf{U}_i will be the \mathbf{U} used in Eq. (10). Now, apply the OSP classifier $\delta_{\text{OSP}} = \mathbf{d}^T P_{\mathbf{U}_i}^{\perp}$ to all image pixel vectors \mathbf{r} , and the resulting image will show only the target signature \mathbf{d} , with all target signatures in \mathbf{U}_i being nulled out.

Several comments are noteworthy:

1. Although the UOSP uses Eq. (11) to determine the number of targets required to generate \mathbf{U}_i , this number can be predetermined in some applications. For example, in MRI, we can preset this number to the total number of bands minus one. This is because the orthogonal subspace requires an exclusive dimension to accommodate one specific target signature. If two target signatures are extracted in the same orthogonal subspace, these two cannot be discriminated from one another by the OSP classifier. As a consequence, the total number of target signatures that can be effectively discriminated by the OSP classifier cannot exceed the total number of spectral bands that are used to acquire image data. Unlike hyperspectral imagery with hundreds of spectral bands, a multispec-

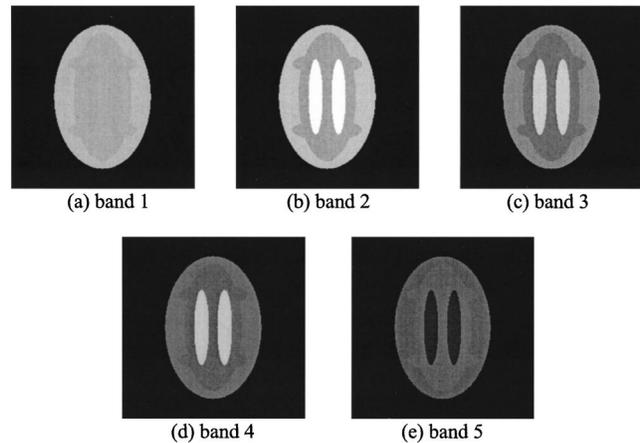


Fig. 1 Five bands of computer-generated phantom images.

tral MR image has only a few spectral bands. In this case, instead of determining the error threshold ε , it is easy to preset the number of target signatures required to be generated in the TGP by the number of spectral bands, as shown by experiments in the following section.

2. The performance of the UOSP is significantly affected by the knowledge of the desired target signature \mathbf{d} . If that is contaminated, the matching ability in the matched filter in the OSP will be greatly reduced, and so will the classification.
3. It should be noted that the described UOSP is designed to classify one target signature at a time by varying the desired-target signature \mathbf{d} . However, it can also be implemented to classify multiple target signatures simultaneously by replacing \mathbf{d} in Eq. (10) with a desired-target signature matrix \mathbf{D} that consists of all the target signatures needing to be classified.

5 Experiments

In this section, we present two sets of experiments, one on computer-generated phantom images and another on real MR images. The phantom image experiments enable us to conduct a quantitative study and error analysis for the UOSP, while the real MRI experiments allow us to assess its utility and effectiveness in medical diagnosis.

In order to evaluate performance of the UOSP, the widely used c-means method¹⁸ (also known as k-means method) is used for comparative analysis. The reason to select the c-means method is that it is also an unsupervised algorithm, but is a spatial-based pattern classification technique. In order to make a fair comparison, the implemented c-means method always designates the desired-target signature \mathbf{d} as one of its class means and has \mathbf{d} fixed during iterations. Other than that, the c-means method is performed in the same fashion as does ISODATA described in Ref. 18.

5.1 Computer Simulations for Phantom Experiments

The computer-generated phantom images shown in Fig. 1 have five bands, each of which has the same size (256×256) and was made up of six overlapped ellipses with the radiance spectral signatures shown in Fig. 2. The total num-

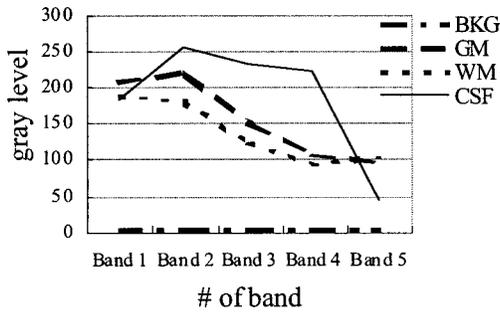


Fig. 2 Spectra of the five bands in Fig. 1.

ber of image pixels is 65,536. These ellipses represent structure areas of three interesting cerebral tissues corresponding to gray matter (GM), white matter (WM), and cerebral spinal fluid (CSF). From the periphery to the center are the background (BKG), GM, WM, and CSF. The gray-level values of these areas in each band were simulated in such a fashion that these values reflect the average values of their respective tissues in the real MR images shown in Fig. 9. Table 1 tabulates the values of the parameters used by the MRI pulse sequence and the gray-level values of the tissues of each band used in the experiments. A zero-mean Gaussian noise was added to the phantom images in Fig. 1 so as to achieve various SNRs ranging from 5 to 20 dB. In order to apply the UOSP to these phantom images, the desired target signature \mathbf{d} was specified by one of three target signatures of our interest (GM, WM, and CSF) shown in Fig. 2. Since there are five bands that can be used for orthogonal projection, four other unknown signatures were also generated by the TGP in the UOSP for elimination, to improve detection performance. They are shown in Fig. 3, where the pixel labeled + was selected as the desired target pixel specified by \mathbf{d} , and the four pixels labeled with squares are specified by the target signatures generated by the TGP. Since there are considerable changes in performance between SNR=5 dB and SNR=20 dB, both sets of results will be presented in this paper for illustration.

Figures 4(a) and (b) show the UOSP-classification results on GM, WM, and CSF for SNR=5 and 20 dB, respectively. Similarly, Figs. 5(a) and (b) show the classification results on GM, WM, and CSF produced by the c-means method for SNR=5 and 20 dB, respectively. Comparing Fig. 4 with Fig. 5, the UOSP performed significantly better than the c-means method. In particular, in the case of SNR=20 dB the UOSP classified GM, WM, and CSF almost 100% correctly, whereas the c-means method

Table 1 Gray-level values used for the five bands of the test phantom in Fig. 1.

Band	MRI parameter TR/TE	BKG	GM	WM	CSF
1	2500 ms/25 ms	3	207	188	182
2	2500 ms/50 ms	3	219	180	253
3	2500 ms/75 ms	3	150	124	232
4	2500 ms/100 ms	3	105	94	220
5	500 ms/11.9 ms	3	95	103	42

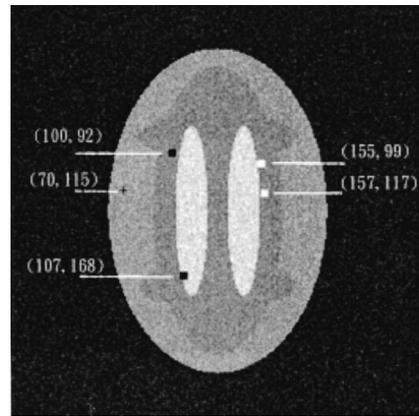


Fig. 3 Five target pixels used in UOSP, with + indicating the desired target pixel at spatial coordinate (70, 115) and squares indicating four unknown target pixels generated by the TGP at the spatial coordinates (100, 92), (107, 168), (155, 99), and (157, 117).

still has difficulty discriminating GM from WM. As a matter of fact, according to our experiments the c-means method was not stable until the SNR reached 45 dB. So the classification maps in Fig. 5 were actually the average of classification maps resulting from 40 implementations of the c-means method. As a result, the images have random dots in the regions of BKG and CSF. This implies that the c-means method had difficulty with classification of BKG and CSF. However, even in the case of SNR=45 dB, the results produced by the c-means method were only comparable to that in Fig. 4(b) produced by the UOSP for SNR=20 dB. This is due to the fact that the UOSP took advantage of its mixed pixel classification capability.

In some practical applications, the knowledge of the desired target signature \mathbf{d} used in the UOSP may not be as accurate as we desire. In order to see how this affects the UOSP performance, we conducted the same experiments as were done for Fig. 4, but the desired target signature \mathbf{d} was contaminated by mixing it with 5% and 10% BKG signa-

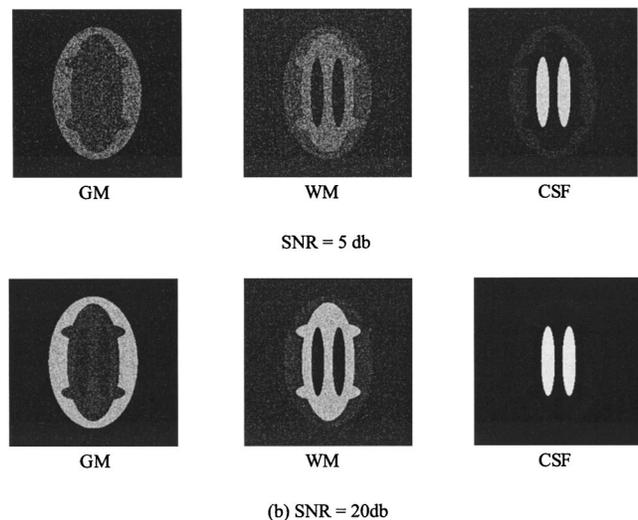


Fig. 4 Classification results of the UOSP for images in Fig. 1 with (a) SNR=5 dB and (b) SNR=20 dB.

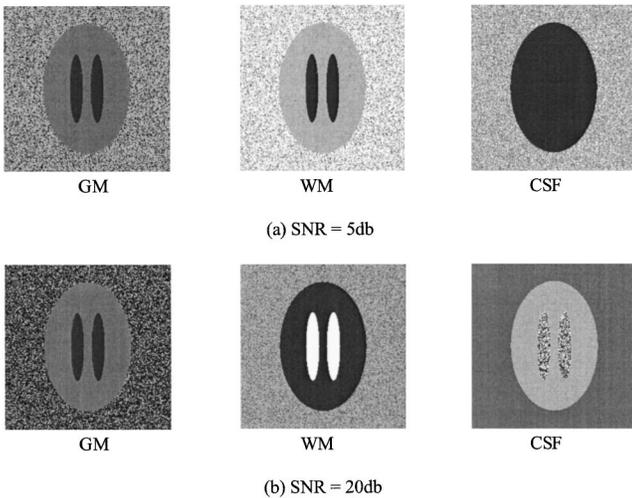


Fig. 5 Classification results of the c-means methods with (a) SNR = 5 dB and (b) SNR = 20 dB.

tures, respectively. To be more specific, two contaminated desired signatures were used for the experiments. One was obtained by mixing 95% true signature \mathbf{d} with 5% BKG signature, and another was obtained by mixing 90% true signature \mathbf{d} with 10% BKG signature. Figures 6 and 7 show their respective results for SNR=5 and 20 dB. As we can see, the UOSP performance degraded slightly compared to Fig. 4. Nevertheless, comparing Figs. 6 and 7 with Fig. 5, it still outperformed the c-means method.

Unlike the images generated by the c-means method in Fig. 5, which were classification maps, the images generated by the UOSP were gray-scale with the gray-level values proportional to detected abundance fraction of \mathbf{d} . In order to conduct a quantitative analysis and make a fair comparison with the results of the c-means method, we need to convert the UOSP-generated abundance-fraction images into binary images. Here, we adopt an approach proposed in Ref. 19, which used the abundance-fraction percentage as a cutoff threshold value for such conversion.

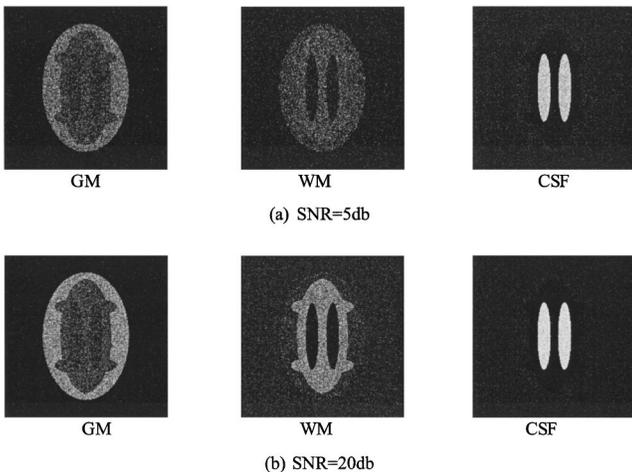


Fig. 6 Classification results of the UOSP with a 5%-contaminated \mathbf{d} for the images in Fig. 1 with (a) SNR = 5 dB and (b) SNR = 20 dB.

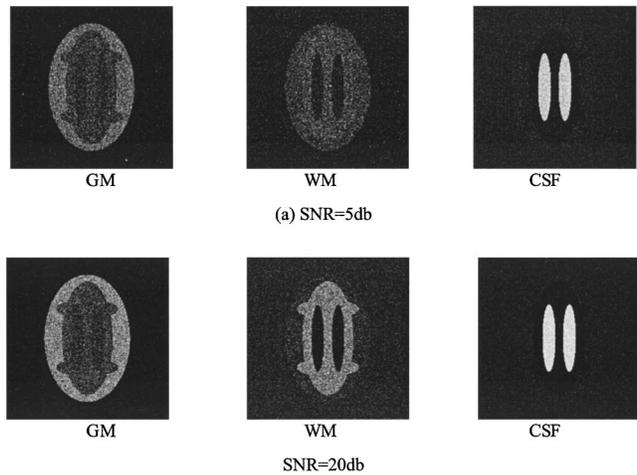


Fig. 7 Classification results of the UOSP with a 10%-contaminated \mathbf{d} for images in Fig. 1 with (a) SNR = 5 dB and (b) SNR = 20 dB.

In other words, we first normalize the abundance fractions of all the pixels in a UOSP-generated abundance-fraction image to the range [0,1]. More specifically, let \mathbf{r} be the image pixel vector and $\hat{\alpha}_1(\mathbf{r}), \hat{\alpha}_2(\mathbf{r}), \dots, \hat{\alpha}_p(\mathbf{r})$ be the estimates of the abundance fractions $\alpha_1, \alpha_2, \dots, \alpha_p$ produced by applying the OSP in Eq. (10) to the image pixel vector \mathbf{r} . Then for each estimated abundance fraction $\hat{\alpha}_j(\mathbf{r})$, its normalized abundance fraction $\tilde{\alpha}_j(\mathbf{r})$ can be obtained by

$$\tilde{\alpha}_j(\mathbf{r}) = \frac{\hat{\alpha}_j(\mathbf{r}) - \min_r \hat{\alpha}_j(\mathbf{r})}{\max_r \hat{\alpha}_j(\mathbf{r}) - \min_r \hat{\alpha}_j(\mathbf{r})}. \quad (14)$$

Suppose that a is used for the cutoff abundance-fraction threshold value in percent. If the normalized abundance fraction of a pixel is greater than or equal to $a/100$, then the pixel is detected as a target pixel and is assigned a 1; otherwise, the pixel is assigned a 0, which means that the pixel is not a target pixel because its spectral signature does not match the target signature \mathbf{d} . Using this thresholding criterion, we can actually tally the number of pixels that the UOSP detected in its generated abundance-fraction images as follows.

First of all, we define $N(\mathbf{d})$, $N_D(\mathbf{d})$, and $N_F(\mathbf{d})$ to be, respectively, the total number of pixels specified by the desired target signature \mathbf{d} , the total number of pixels that are the desired target signature \mathbf{d} and are actually detected as \mathbf{d} by the UOSP, and the total number of false-alarm pixels that are not the desired target signature \mathbf{d} but are detected as \mathbf{d} by the UOSP. The desired target signature \mathbf{d} can be chosen to be GM, WM, or CSF. Then the detection rate and false-alarm rate can be defined by

$$R_D(\mathbf{d}) = \frac{N_D(\mathbf{d})}{N(\mathbf{d})}, \quad (15)$$

$$R_F(\mathbf{d}) = \frac{N_F(\mathbf{d})}{N - N(\mathbf{d})}, \quad (16)$$

Table 2 Detection results of Fig. 4(a) with SNR=5 dB.

Area	a (%)	$N(\mathbf{d})$	$N_D(\mathbf{d})$	$N_F(\mathbf{d})$	$R_D(\mathbf{d})$ (%)	$R_F(\mathbf{d})$ (%)
GM	5	9040	8398	14825	92.9	26.24
	25	9040	5772	2800	63.85	4.96
	50	9040	1370	157	15.15	0.28
WM	5	8745	8409	31388	96.16	55.27
	25	8745	6216	5278	71.08	9.29
	50	8745	1565	232	17.9	0.41
CSF	5	3282	3282	24012	100	38.57
	25	3282	3282	364	100	0.58
	50	3282	3279	0	99.91	0.00

Table 3 Detection results of Fig. 4(b) with SNR=20 dB.

Area	a (%)	$N(\mathbf{d})$	$N_D(\mathbf{d})$	$N_F(\mathbf{d})$	$R_D(\mathbf{d})$ (%)	$R_F(\mathbf{d})$ (%)
GM	5	9040	9040	13366	100	23.66
	25	9040	9036	816	99.96	1.44
	50	9040	7421	1	82.09	0.00
WM	5	8745	8745	30366	100	53.47
	25	8745	8744	1063	99.99	1.87
	50	8745	8094	1	92.56	0.00
CSF	5	3282	3282	8983	100	14.43
	25	3282	3282	0	100	0.00
	50	3282	3282	0	100	0.00

Table 4 Detection results of Fig. 5 produced by c-means method.

Area	SNR	$N(\mathbf{d})$	$N_D(\mathbf{d})$	$N_F(\mathbf{d})$	$R_D(\mathbf{d})$ (%)	$R_F(\mathbf{d})$ (%)
GM	5	9040	8708	6277	96.33	11.11
	20	9040	9040	7489	100.00	13.26
WM	5	8745	8517	6201	97.39	10.92
	20	8745	8745	9285	100.00	16.35
CSF	5	3282	2941	4003	89.61	6.43
	20	3282	3166	4001	96.47	6.43

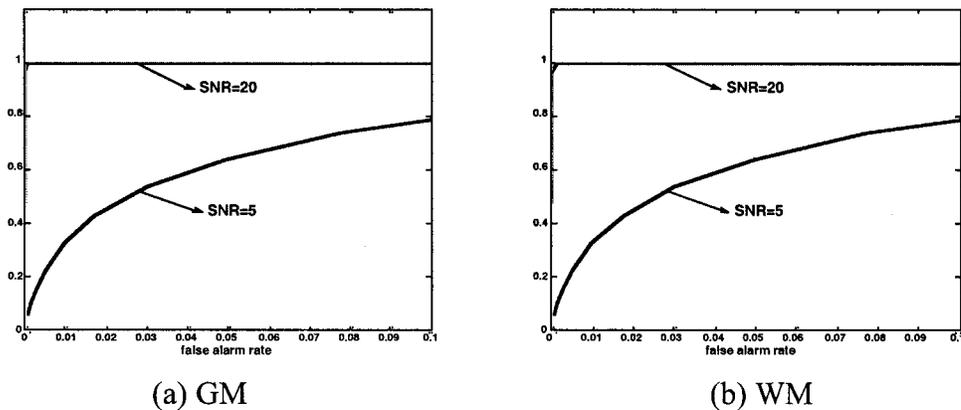


Fig. 8 ROC curves generated by UOSP with SNR=5 and 20 dB.

Table 5 Detection rates produced by the UOSP with SNR=5 and 20 dB.

SNR (dB)	Detection rate		
	GM	WM	CSF
5	0.8711	0.8459	1.000
20	0.9841	0.9870	1.000

respectively, where N is the total number of pixels in the image.

Tables 2 and 3 tabulate the results of Fig. 4 for SNR = 5 and 20 dB, respectively, with a chosen to be 5%, 25%, and 50%. From these tables, when the abundance fraction cutoff threshold percentage a was set to be small, $R_D(\mathbf{d})$ could be very high at the expense of high $R_F(\mathbf{d})$, as in the case of $a=5\%$. Conversely, if a was set to be large, $R_D(\mathbf{d})$ could be very low with $R_F(\mathbf{d})$ also low for GM and WM, as in the case of $a=50\%$. Our experiments showed that a good compromise for a ranged from 25% to 35%.

Table 4 tabulates the results produced by the c-means method shown in Fig. 5 for SNR=5 and 20 dB. Comparing it with Tables 2 and 3, we see that the number of false-alarm pixels produced by the c-means method was significantly higher than that produced by the UOSP. For the case of SNR=5 dB, the c-means method yielded much higher detection rates than did the UOSP, at the expense of very high false-alarm rates. For the case of SNR=20 dB, the c-means method achieved 100% detection rates in classification of GM and WM, but also produced more than 10% false-alarm rates. Compared to the c-means method, the UOSP also achieved nearly 100% detection rates with false-alarm rates lower than 2%. As for CSF classification, the UOSP achieved 100% detection rate with 0% false-alarm rate, while the c-means method only reached 96.47% detection rate with 6.43% false-alarm rate. In order to see the overall performance of the UOSP using the $a\%$ threshold criterion, we varied a from 100% down to 0%. For each a , we produced a pair $(R_F(\mathbf{d}), R_D(\mathbf{d}))$. In this case, the receiver operating characteristic (ROC) curves for SNR = 5 and 20 dB are plotted in Fig. 8, where the graphs labeled (a) and (b) are the detection results of GM and WM, respectively. If we further define the detection rate as the area under an ROC curve,²⁰ Table 5 tabulates the detection rates produced by the UOSP for GM and WM with SNR=5 and 20 dB. As we can see from these values, the performance of the UOSP improved when SNR was increased. According to Tables 2 and 3, the detection results for CSF were nearly 100%, and their ROC curves would be flat along the line $R_D(\mathbf{d})=1$. So these curves were not plotted in Fig. 8.

5.2 Experiments on Real MR Images

In the following experiments, real MR images were used for performance evaluation. They were acquired from ten patients with normal physiology. One example is shown in Figs. 9(a) to 9(e) with the same parameter values as given in Table 1. Band 1 is the PD-weighted spectral image acquired by the pulse sequence TR/TE=2500 ms/25 ms.

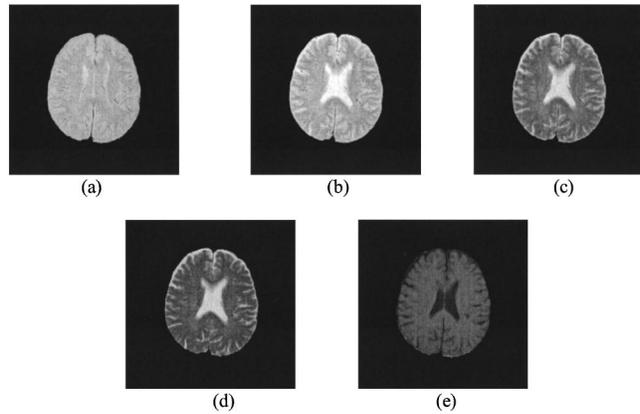


Fig. 9 Five-band real brain MR images used for experiments. (a) TR/TE=2500 ms/25 ms; (b) TR/TE=2500 ms/50 ms; (c) TR/TE=2500 ms/75 ms; (d) TR/TE=2500 ms/100 ms; (e) TR/TE=500 ms/11.9 ms.

Bands 2, 3, and 4 are T2-weighted spectral images acquired by the pulse sequences TR/TE=2500 ms/50 ms, 2500 ms/75 ms, and 2500 ms/100 ms, respectively. Band 5 is the T1-weighted spectral image acquired by the pulse sequence TR/TE=500 ms/11.9 ms. The tissues surrounding the brain, such as bone, fat, and skin, were semiautomatically extracted using interactive thresholding and masking.²¹ The slice thickness of all the MR images is 6 mm, and the axial sections were taken with a Ge MR 1.5T scanner. Before acquisition of the MR images the scanner was adjusted to prevent artifacts caused by the static and radio-frequency

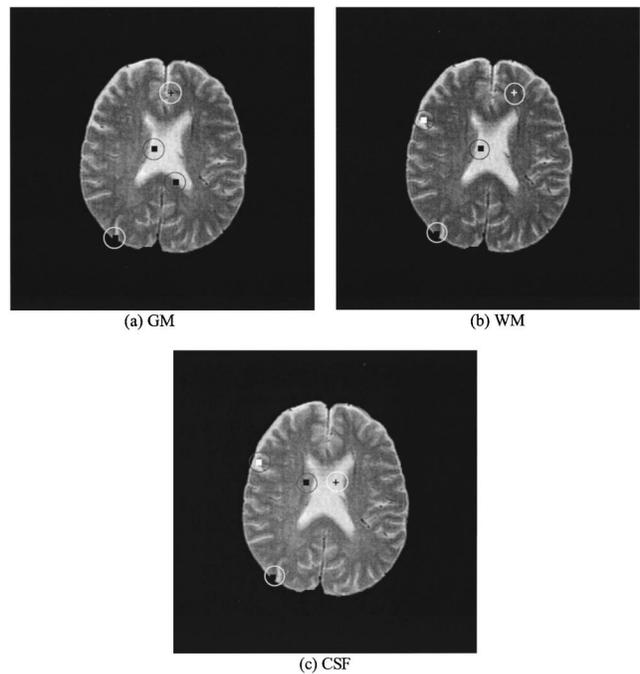


Fig. 10 Targets generated by the TGP from Fig. 7. The cross represents the desired target \mathbf{d} , and a solid square represents an unknown target signature generated by the TGP. (a) $\mathbf{d}=\text{GM}$; (b) $\mathbf{d}=\text{WM}$; (c) $\mathbf{d}=\text{CSF}$.

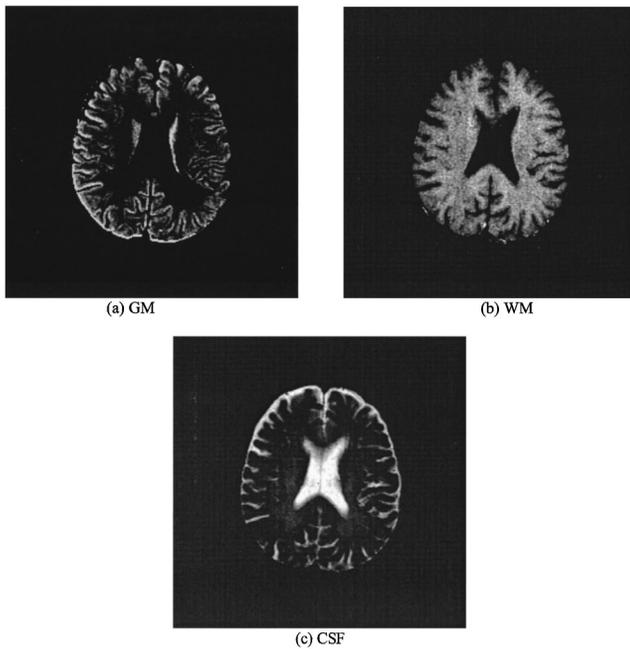


Fig. 11 Classification results of using UOSP for the image in Fig. 9. (a) GM; (b) WM; (c) CSF.

magnetic fields and their gradients. All experiments were performed under the supervision of a neuroradiologist.

In order to enhance classification of these MR images, the interfering effects resulting from tissue variability and characterization must be eliminated. However, to identify the sources of this interference is nearly impossible unless prior information is provided. On the other hand, in many MRI applications, the three cerebral tissues, GM, WM, and

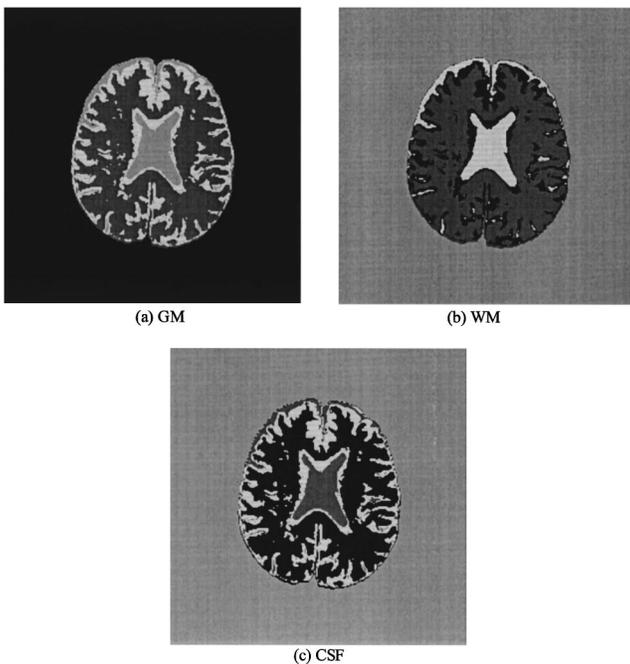


Fig. 12 Classification results of using c-means method for the images in Fig. 9. (a) GM; (b) WM; (c) CSF.

CSF, are of major interest, and knowledge of them can be generally obtained directly from the images. In our experiments, the spectral signatures of GM, WM, and CSF used for the UOSP were extracted directly from the MR images and verified by experienced radiologists. Figure 10 shows the spatial locations of the desired signatures \mathbf{d} , indicated by a cross within a circle. The unknown target pixels generated by the TGP are indicated by solid squares, each of which is circled. For example, the image in Fig. 10(a) shows three target pixels generated by the TGP using $\mathbf{d} = \text{GM}$; one (represented by a cross), that was the desired target signature, and two others (solid squares), where white and black were used to highlight these target pixels for visual differentiation. Obviously, the TGP using different target signatures generated different target pixels, as demonstrated in Figs. 10(b) and 10(c). Figures 11(a) to 11(c) show the classification results of the UOSP using the five images in Figs. 9(a) to 9(e) and the targets generated in Fig. 10. The images labeled (a), (b), and (c) were produced, respectively, by using GM, WM, and CSF as the desired target signature \mathbf{d} . For comparison, we also applied the c-means method to Figs. 9(a) to 9(e) to produce Figs. 12(a) to 12(c), where the classification maps of GM, WM, and CSF are labeled (a), (b), and (c), respectively. In comparison with Figs. 12(a) to 12(c), the UOSP performed significantly better than did the c-means method.

It should be stressed that all the experimental results presented here were verified by experienced radiologists.

6 Conclusion

Orthogonal subspace projection (OSP) has shown great success in hyperspectral image classification. It is a matched-filter-based classifier and can be also considered as an eigenimage approach. The concept of using matched filters is not new and has been found in many applications in pattern classification.^{22,23} However, its strength in classification of MR image sequences has not been exploited.

This paper presents a new application of an unsupervised OSP (UOSP) in MR image classification where no prior knowledge of the image background is required. The only required knowledge is the desired target signature that needs to be classified. Since it is generally difficult to characterize an MR image background due to tissues variabilities and unknown signal sources, the UOSP is particularly attractive and useful for MRI classification. In order to illustrate the utility of the UOSP, a detailed study of simulations was conducted. The results were further supported by real MR images.

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